PATENT ATTORNEY DOCKET NO. 50026/040002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Keiya OZAWA et al.

Confirmation No.:

1072

Serial No.:

10/562,322

Art Unit:

1651

371 (c) Date:

May 9, 2006

Examiner:

Leon B. Lankford, Jr.

Customer No.:

21559

Title:

METHODS FOR TRANSPLANTING LYMPHOHEMATOPOIETIC

CELLS INTO MAMMAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION TO CORRECT FILING RECEIPT

Applicant requests that the enclosed corrected Filing Receipt, mailed September 14, 2006, be corrected as follows.

Under the heading: "Domestic Priority data as described by applicant":

Please replace "60/483,267 6/26/2003" with "60/483,357 6/27/2003"

Enclosed are copies of the incorrect corrected filing receipt showing the changes to be made as well as the Application Data Sheet, and the preliminary amendment, each showing the correct priority information.

If there are any charges or any credits, please apply them to Deposit Account No.

03-2095.

Respectfully submitted,

Date: March 7, 2007

James D. DeCamp, Ph.D. Reg/No. 43,580

Clark & Elbing LLP 101 Federal Street

Boston, MA 02110

Telephone: 617-428-0200 Facsimile: 617-428-7045



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.nsplo.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/562,322	05/09/2006	1651	1230	50026/04002	13	15	4

FILLENTED

CONFIRMATION NO. 1072

21559 CLARK & ELBING LLP 101 FEDERAL STREET BOSTON, MA 02110

SEP 1 0 2003

CORRECTED FILING RECEIPT

OC000000020416749

DE-DOCKETED

Date Mailed: 09/14/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Keiya Ozawa, Tochigi, JAPAN; Yutaka Hanazono, Tochigi, JAPAN; Kyoji Ueda, Kyoto, JAPAN; Yasuji Ueda, Ibaraki, JAPAN; Mamoru Hasegawa, Ibaraki, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 21559.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/09370 06/25/2004 which claims benefit of $60/483,267 \frac{96/26/2003}{60/483,357} \frac{6}{27/2003}$

Foreign Applications

If Required, Foreign Filing License Granted: 08/05/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/562,322

Projected Publication Date: 11/16/2006

Non-Publication Request: No

Early Publication Request: No

Title

Method for transplanting lymphohematopoietic cells into mammal

Preliminary Class

424

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof

unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Application Data Sheet

Application Information

Application number::				
Filing Date::	12/23/2005			
Application Type::	Regular			
Subject Matter::	Utility			
Suggested Classification::				
Suggested Group Art Unit::				
CD-ROM or CD-R?::				
Number of CD disks::				
Number of copies of CDs::				
Sequence submission?::	Yes			
Computer Readable Form (CRF)?::	Yes			
Number of copies of CRF::	1			
Title::	METHOD FOR TRANSPLANTING			
	LYMPHOHEMATOPOIETIC CELLS INTO MAMMAL			
Attorney Docket Number::	50026/040002			
Request of Early Publication?::	No			
Request of Non-Publication?::	No			
Suggested Drawing Figure::				
Total Drawing Sheets::				
Small Entity?::	No			
Petition Included?::	No			

No

Petition Type::

Licensed US Govt. Agency::

Contract or Grant Numbers::

Secrecy Order in Parent Appl.?::

No

Applicant Information

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Japan

Status::

Full Capacity

Given Name::

Keiya

Middle Name::

Family Name::

OZAWA

Name Suffix::

City of Residence::

Kawachi-gun

State or Province of Residence::

Tochigi

Country of Residence::

Japan

Street of mailing address::

C-201, 3-1-3, Gion, Minamikawachi-machi

City of mailing address::

Kawachi-gun

State or Province of mailing address::

Tochigi

Country of mailing address::

Japan

Postal or Zip Code of mailing address::

329-0434

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Japan

Status::

Full Capacity

Given Name::

Yutaka

Middle Name::

Family Name::

HANAZONO

Name Suffix::

City of Residence::

Kawachi-gun

State or Province of Residence::

Tochigi

Country of Residence::

Street of mailing address::

I-201, Jichiidaisyokuinjutaku,

1, Midori 1-chome, Minamikawachi-machi

City of mailing address::

Kawachi-gun

State or Province of mailing address::

Tochigi

Country of mailing address::

Japan

Postal or Zip Code of mailing address:: 329-0433

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Japan

Status::

Full Capacity

Given Name::

Kyoji

Middle Name::

Family Name::

UEDA

Name Suffix::

City of Residence::

Kyoto-shi

State or Province of Residence::

Kyoto

Country of Residence::

Japan

Street of mailing address::

551, Oomiyanishiirumotomyorenji-cho,

Motoseiganjidoori, Kamigyo-ku

City of mailing address::

Kyoto-shi

State or Province of mailing address:: Kyoto

Country of mailing address:: Japan

Postal or Zip Code of mailing address:: 602-8443

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Japan

Status:: Full Capacity

Given Name:: Yasuji

Middle Name::

Name Suffix::

Family Name:: UEDA

City of Residence:: Tsukuba-shi

State or Province of Residence:: Ibaraki

Country of Residence:: Japan

Street of mailing address:: c/o DNAVEC RESEARCH INC.

25-11, Kannondai 1-chome

City of mailing address:: Tsukuba-shi

State or Province of mailing address:: Ibaraki

Country of mailing address:: Japan

Postal or Zip Code of mailing address:: 305-0856

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Japan

Status:: Full Capacity

Given Name:: Mamoru

Middle Name::

Family Name::

HASEGAWA

Name Suffix::

City of Residence::

Tsukuba-shi

State or Province of Residence::

Ibaraki

Country of Residence::

Japan

Street of mailing address::

c/o DNAVEC RESEARCH INC.

25-11, Kannondai 1-chome, Tsukuba-shi

City of mailing address::

Tsukuba-shi

State or Province of mailing address::

Ibaraki

Country of mailing address::

Japan

Postal or Zip Code of mailing address:: 305-0856

Correspondence Information

Correspondence Customer Number::

21559

Representative Information

Representative Customer Number::

21559

Domestic Priority Information

Application::

Continuity Type::

Parent Application::

Parent Filing Date::

This Application

National stage of

PCT/JP2004/009370

06/25/04

PCT/JP2004/009370 An application claiming

60/483,357

06/27/03

the benefit under 35

USC 119(e)

Assignee Information

Assignee name::

Street of mailing address::

City of mailing address::

State of Province of mailing address::

Country of mailing address::

Postal or Zip Code of mailing address::

PATENT ATTORNEY DOCKET NO. 50026/040002

Certificate of Mailing: Date of Deposit:
I hereby certify under 37 C.F.R. § 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Elvis DeLaCruz Printed name of person mailing correspondence Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Keiya OZAWA

Art Unit:

Not Yet Assigned

Serial No.:

Not Yet Assigned

Examiner:

Not Yet Assigned

Filed:

December 23, 2005

Customer No.:

21559

Title:

METHOD FOR TRANSPLANTING LYMPHOHEMATOPOIETIC

CELLS INTO MAMMAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Prior to examination, kindly amend the application as follows.

AMENDMENTS TO THE SPECIFICATION

Please insert the following heading and paragraph at page 1, line 5.

Cross-Reference to Related Applications

This application is the U.S. national stage application of International Application Number PCT/JP2004/009370, filed June 25, 2004, which, in turn, claims the benefit of United States Provisional Patent Application Serial Number 60/483,357, filed June 27, 2003.

Please replace the Sequence Listing found appended to the International Preliminary Report on Patentability in International Application Number PCT/JP2004/009370 with the enclosed paper copy of the Sequence Listing provided with the concurrently filed Statement Under 37 C.F.R. §§ 1.821 – 1.825.

REMARKS

Applicants also amend the specification to cross-reference related applications. A courtesy copy of the present specification is enclosed, but the World Intellectual Property Office (WIPO) copy should be relied upon if it is already present in the U.S. Patent Office file. A replacement Sequence Listing updating fields 110-170 has also been submitted. No new matter has been added by the present amendment.

If there are any charges or any credits, please apply them to Deposit Account No. 03-2095.

Date: 23 December 2005

ames D. DeCamp, Ph.D.

Respectfully submitted,

Reg No. 43,580

Clark & Elbing LLP 101 Federal Street Boston, MA 02110

Telephone: 617-428-0200

Facsimile: 617-428-7045